

08-14-01

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Practitioner's Docket No. 782_181

PATENT

NEW APPLICATION TRANSMITTAL

Box Patent Application
Assistant Commissioner for Patents
Washington D.C. 20231

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 addressed to **Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231** on August 13, 2001 under "EXPRESS MAIL" mailing label number EL 803163971 US.

Desirée M. Bennett
Desirée M. Bennett

08/13/01
JC904 U.S. PTO
09/285523

Transmitted herewith for filing is the patent application of:

Inventor 1: Tomohiko SHIBATA
Address: c/o NGK Insulators, Ltd.
2-56 Suda-Cho, Mizuho-Ku,
Nagoya City, Aichi Pref. 467-8530
Japan

Inventor 2: Keiichiro ASAI
Address: c/o NGK Insulators, Ltd.
2-56, Suda-Cho, Mizuho-Ku
Nagoya City, Aichi Pref. 467-8530
Japan

Inventor 3: Mitsuhiro TANAKA
Address: c/o NGK Insulators, Ltd.
2-56, Suda-Cho, Mizuho-Ku
Nagoya City, Aichi Pref. 467-8530
Japan

Title: AN APPARATUS FOR FABRICATING A III-V NITRIDE FILM AND A METHOD FOR FABRICATING THE SAME

1. Papers enclosed

A. Required for filing date under 37 CFR 1.53(b) (Regular) are:

- 12 Page(s) of Specification
- 3 Page(s) of Claims
- 1 Page(s) of Abstract
- 4 Sheets of Formal Drawings (Figs. 1-4)

B. Other Papers Enclosed

- ☒ A Patent Application Bibliographic Data
- ☐ A Preliminary Amendment
- ☒ A combined Declaration and Power of Attorney
- ☐ An Information Disclosure Statement
- ☐ PTO Form-1449
- ☒ An Assignment Transmittal and Assignment of the invention to NGK Insulators, Ltd.

2. Additional Papers to Follow Under Separate Cover

[☒] A Claim for Priority and a certified copy of 2000-264,934, 2000-293,596, and 2001-207,785 filed September 1, 2000, September 27, 2000, and July 9, 2001, respectively in Japan

3. The correspondence address for this application is:

Stephen P. Burr
Burr & Brown
P.O. Box 7068
Syracuse, New York 13261-7068

Telephone: (315) 233-8300
Facsimile: (315) 233-8320

Customer No.: 025191

4. Nonpublication Request Under 35 U.S.C. 122(b)(2)(B)(i)

[] Applicants hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

[] Applicants hereby request that the attached application **not be** published under 35 U.S.C. 122(b).

5. Small Entity Status

[] Applicant(s) claim(s) small entity status. See 37 CFR § 1.27.

6. The filing fee has been calculated as shown below:

- A. Filing Fee
[☒] Original Patent Application \$ 710.00
(37 C.F.R. 1.16(a) - \$710.00; Small Entity - \$355.00)
- B. Fees for Claims (18 Claims; 3 Indep.)
[] each independent claim in excess of 3 \$.00
(37 C.F.R. 1.16(b) - \$80.00; small entity - \$40.00)
[] each claim in excess of 20 \$.00
(37 C.F.R. 1.16(c) - \$18.00; small entity - \$9.00)
[] multiple dependent claim(s) \$.00
(37 C.F.R. 1.16(d) - \$270.00; small entity - \$135.00)
- C. Surcharge fees
[] late payment of filing fee \$.00
(37 C.F.R. 1.16(e) - \$130.00; small entity - \$65.00)
- and/or
- [] late filing of original declaration or oath \$.00
(37 C.F.R. 1.16(f) - \$130.00; small entity - \$65.00)

NOTE: If both the filing fee and declaration or oath were missing from the original papers, only one surcharge fee for both need be paid. 37 C.F.R. 1.16(e).

- Total Fees Due**
- \$ 750.00

☒ A check in the amount of \$750.00 is enclosed.

☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-1446. A duplicate copy of this sheet is enclosed.

☒ Any additional filing fees required under 37 CFR 1.16.

☒ Any patent application processing fees under 37 CFR 1.17.

~~Respectfully submitted,~~

Stephen P. Buff
Reg. No. 32,970

BURR & BROWN
P.O. Box 7068
Syracuse, NY 13261-7068

Customer No.: 025191
Telephone: (315) 233-8300
Facsimile: (315) 233-8320